



CORTEX

Core monitoring techniques and
experimental validation and demonstration

The Combination of State-of-the-Art Signal Processing and the Computational Intelligence Paradigm for the Efficient, Accurate and Robust Processing of Nuclear Reactor Data

CORTEX Workshop

**Advanced signal processing methods and learning methodologies
applied to the monitoring of NPP reactor conditions**

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Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

The Natural (Living) Intelligence Paradigm

All living organisms use (some kind of) intelligence in order to survive and propagate/multiply

Prerequisites of survival (**fight or flight**) are to:

- adapt in order to increase survival potential (**non-stationary, time-varying “system”**)
- find water, acquire food, remain safe, **discover/construct** a shelter (weather, fire, human/animal attacks)
- cross land, rivers and seas to escape danger (**counter-act** climatological/environmental, predators)
- discover/adapt/devise/create “survival” and “warfare” tools/procedures (**ally bonding & enemy defense/offense**)

act re-act pro-act

Take **advantage of/manipulate** (turn “bad” to “good”) ******, e.g. fire, water, wind, animals, terrain/morphology

Invent **effective defense and offence weapons**, (moats, walls, labyrinths) **tactics (algorithms)**

Develop a **growing body of knowledge** (oral and written) in arts and sciences, keep **records (dataset creation)**, devise **calculating systems**, computers (direct **transfer of human/animal**, when a particular species is superior) of **actions/procedure/intelligence** to the creation and use of (progressively, all the more) **autonomous “tools”**, both animate (**trained** wolves/dogs/eagles) and inanimate (**constructed** pulleys, cranes, as well as computer programmes) which are **superior** for the task-at-hand. Develop intelligent inanimate objects/systems/devices (**automata/αὐτόματα**, seemingly "acting of their own will") of interest from the early days of civilization <https://en.wikipedia.org/wiki/Automaton>



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The Artificial, Computational, Swarm Intelligence (AI, CI, SI) Paradigms

Express the elements of the problem (and solve the problem) at different level of representation (symbolic, sub-symbolic, hyper-symbolic):

AI symbols (**symbolic level**), where each symbol constitutes a core element of the problem that can take on a number of values and the states (including the initial and final state) constitute sets of values of these symbols. Going from the initial to the final (solution) state is implemented via symbol manipulations that cause **elementary (and valid)** steps/transitions from the current to the next state of the problem, with the selected transition leading **the closest to/ towards** the aim/solution/end-state. **Search, constraint propagation and satisfaction, inference (expert) systems** etc. implement different **AI** methodologies which **are serially implemented (no parallel processing)**. **AI** encounters **bottleneck** issues when the problem size and/or problem complexity rises (**combinatorial explosion of alternatives**).

CI sub-symbols (**sub-symbolic level**), which come together to represent the symbols of AI. The manipulation of the elements of the problem is implemented as parallel distributed processing of the sub-symbols. The lower (than in **AI**) level of representation is inspired by the 10^{14} neurons in the brain promotes **flexibility** and improved **level-of-detail** in the state-by-state transitions, **adding robustness** in cases of partly missing and/or erroneous information (**best-possible** rather than **no-solution**) and **allowing the concurrent investigation of alternative paths (parallel processing)**.

SI simple agents (**super-symbolic level**), e.g. ant colonies or swarms of bees, which **represent potential solutions** (as problem states rather than solutions per se) and **collaborate** in their search for food sources (solutions) via processes such as **ant pheromone laying** and **bee dancing** in order to convey information concerning **food source location and quantity** to the entire population. Each agent **acts independently**, yet takes into account the **information** (e.g. **pheromone concentration**) along the various paths. A shorter path is faster to traverse, thus is laid with more pheromone, inviting more agents to follow it (**reinforcement**). The communal mobility gradually (a) determines the **best nearest** foodsource, (b) converges to the **shortest path** from the nest/hive etc. to this foodsource, (c) promotes efficient response (path alterations) to **changes in the environment** (e.g. path obstruction, foodsource depletion).



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CORTEX H2020

Interest in **key-issues of N(P)P operation**, namely

- ❖ control
- ❖ diagnostics and fault detection
- ❖ monitoring, N(P)P operations
- ❖ proliferation and resistance applications
- ❖ sensor and component reliability
- ❖ spectroscopy
- ❖ fusion supporting operations

Selection of the pertinent/appropriate **CI methodologies**, namely among

- artificial neural networks
- fuzzy logic (inference systems)
- genetic algorithms/programming, evolutionary strategies



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Data (pre-)Processing and Encoding/Representation

Data/signal visualisation, pre-processing and analysis

cannot

- exceed/improve upon the data, hence valid results cannot be obtained from an erroneous dataset

can

- uncover errors in data which degrade the operation of any model (parametric, semi-/non parametric) e.g. out-of-range values, infeasible combinations of values for (cor)related parameters (e.g. male and pregnant),
- isolate transient characteristics (e.g. transients and trends)
- evaluate statistics of the data (distribution, mean value, standard deviation, skewness, level and nature of noise)
- perform data denoising, normalization, subsequently fill-in missing values (variety of methods).

Statistics operations, e.g. cross-correlation of the input data reveals repeated information (which skews/biases the dataset statistics and characteristics).

Feature extraction or selection may also be appropriate as a complementary step for reducing the computational burden and skewed statistics that are caused by the redundant/repeated information.

the dataset expresses the problem (characteristics/ states): other than “cleaning” detrending, removing 100% known-to-be errors etc.,
DO NOT TOUCH!

cs.gmu.edu/~carlotta/teaching/INFS-795-s05/readings/Classification_1.ppt



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Data (pre-)Processing and Encoding/Representation

Linking with George Alexandridis' presentation

Data/signal Processing (Fourier analysis, wavelets)

Fourier transform: the signal is represented as a sum of sinusoids, thus revealing the **frequencies which are inherent in the signal**; appropriate for LTI systems (also for other systems, yet without the potential of fully being able to characterize the underlying phenomena)

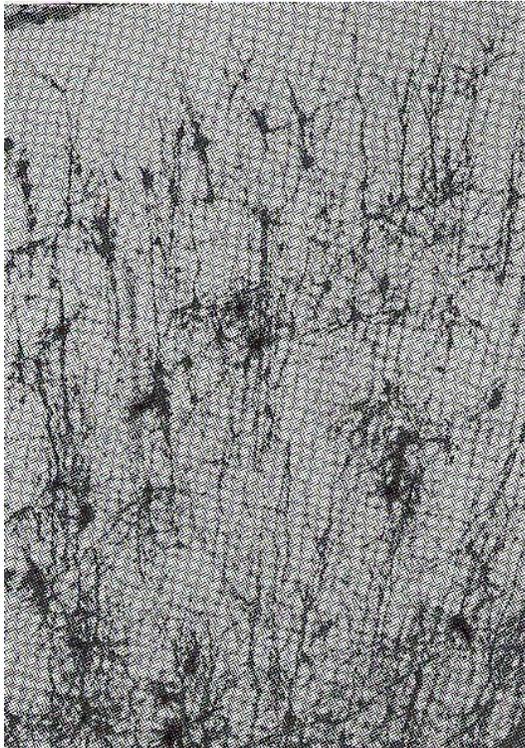
Wavelets extract the frequencies occurring at different times (**temporal frequency extraction**). Different prototypical shapes (mother wavelets) can be used, depending on the shape that it is of interest to identify and isolate/detect in the signal; **the better the match (similarity) between signal and mother wavelet, the better the detection/signal decomposition into scaled and translated versions of the mother wavelet.** Appropriate for more general kinds of systems (not necessarily LTI)



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Neural networks (BP)

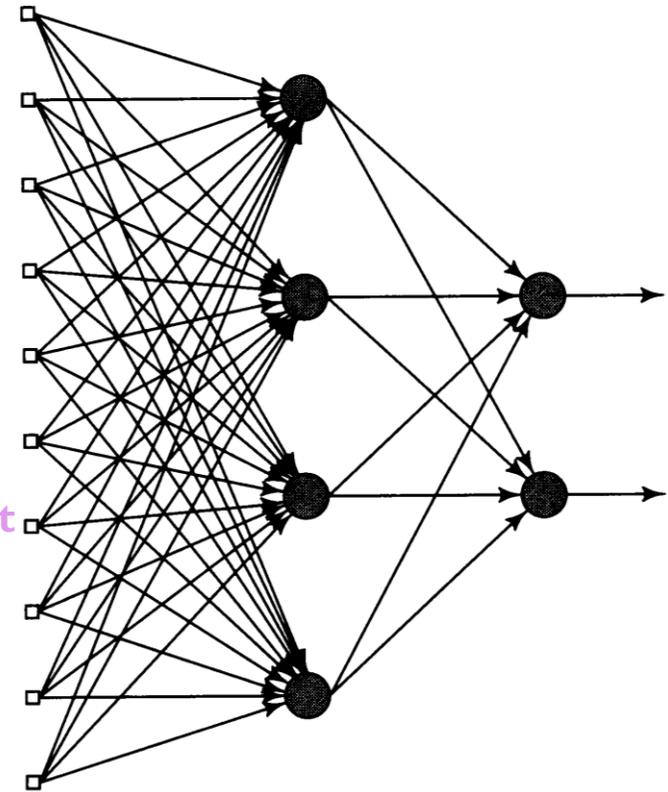
Biological



16 billion

Artificial

“learn” from known input-output pairs (training set) test on the same and unseen data validation, test (n-fold cross-validation)



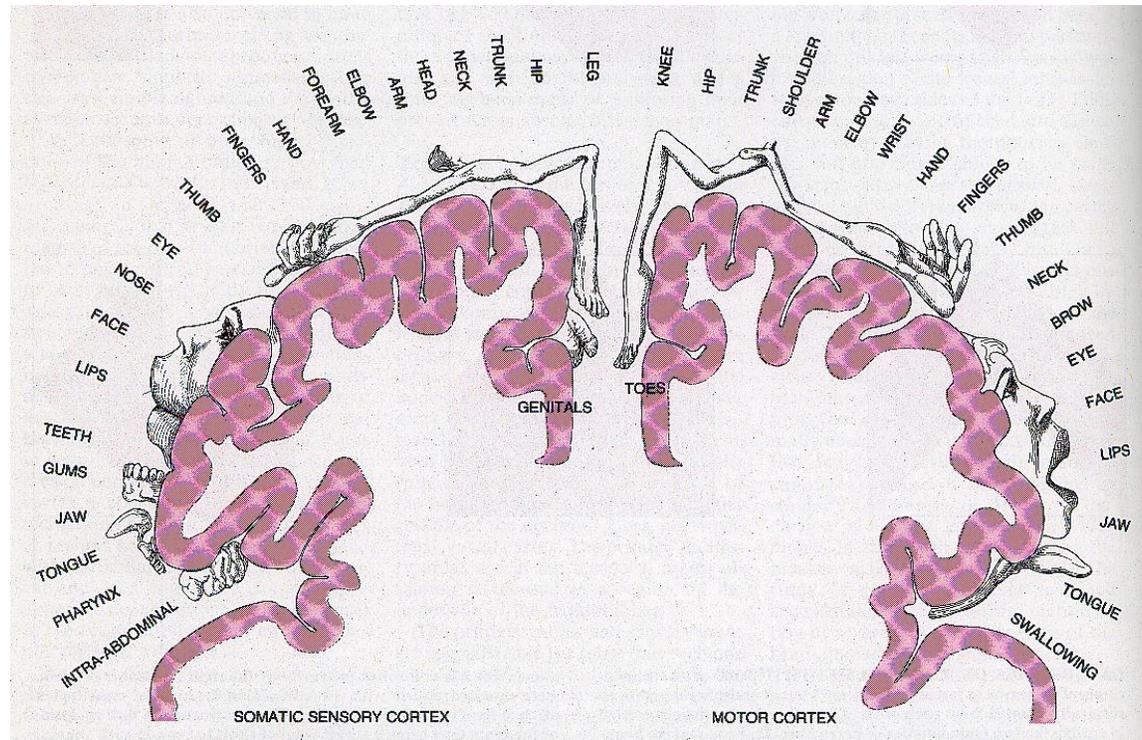
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Neural networks (SOM)

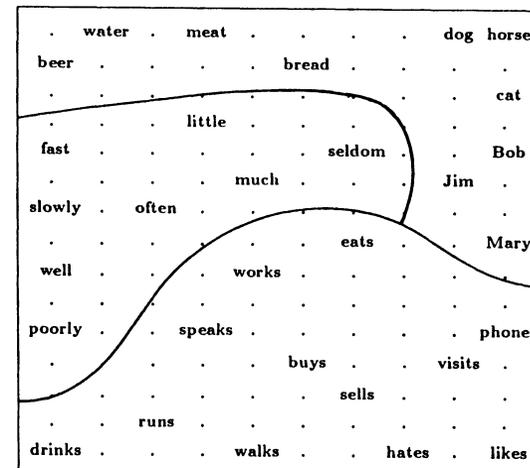
Biological

homunculus

Artificial

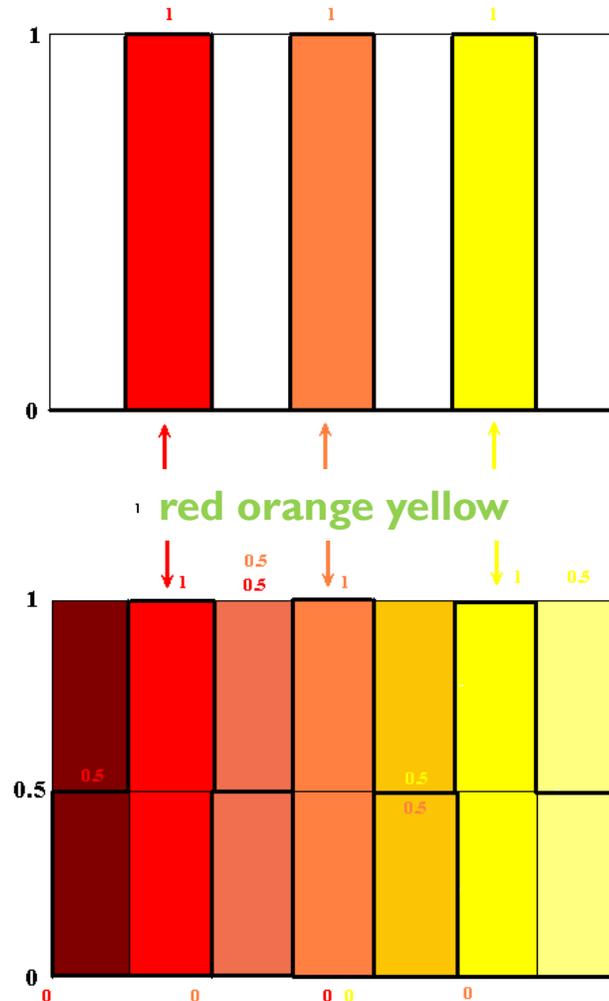


Bob/Jim/Mary	1	Sentence Patterns:			Mary likes meat
horse/dog/cat	2	1-5-12	1-9-2	2-5-14	Jim speaks well
beer/water	3	1-5-13	1-9-3	2-9-1	Mary likes Jim
meat/bread	4	1-5-14	1-9-4	2-9-2	Jim eats often
runs/walks	5	1-6-12	1-10-3	2-9-3	Mary buys meat
works/speaks	6	1-6-13	1-11-4	2-9-4	dog drinks fast
visits/phones	7	1-6-14	1-10-12	2-10-3	horse hates meat
buys/sells	8	1-6-15	1-10-13	2-10-12	Jim eats seldom
likes/hates	9	1-7-14	1-10-14	2-10-13	Bob buys meat
drinks	10	1-8-12	1-11-12	2-10-14	cat walks slowly
eats	11	1-8-2	1-11-13	2-11-4	Jim eats bread
much/little	12	1-8-3	1-11-14	2-11-12	cat hates Jim
fast/slowly	13	1-8-4	2-5-12	2-11-13	Bob sells beer
often/seldom	14	1-9-1	2-5-13	2-11-14	(etc.)
well/poorly	15				



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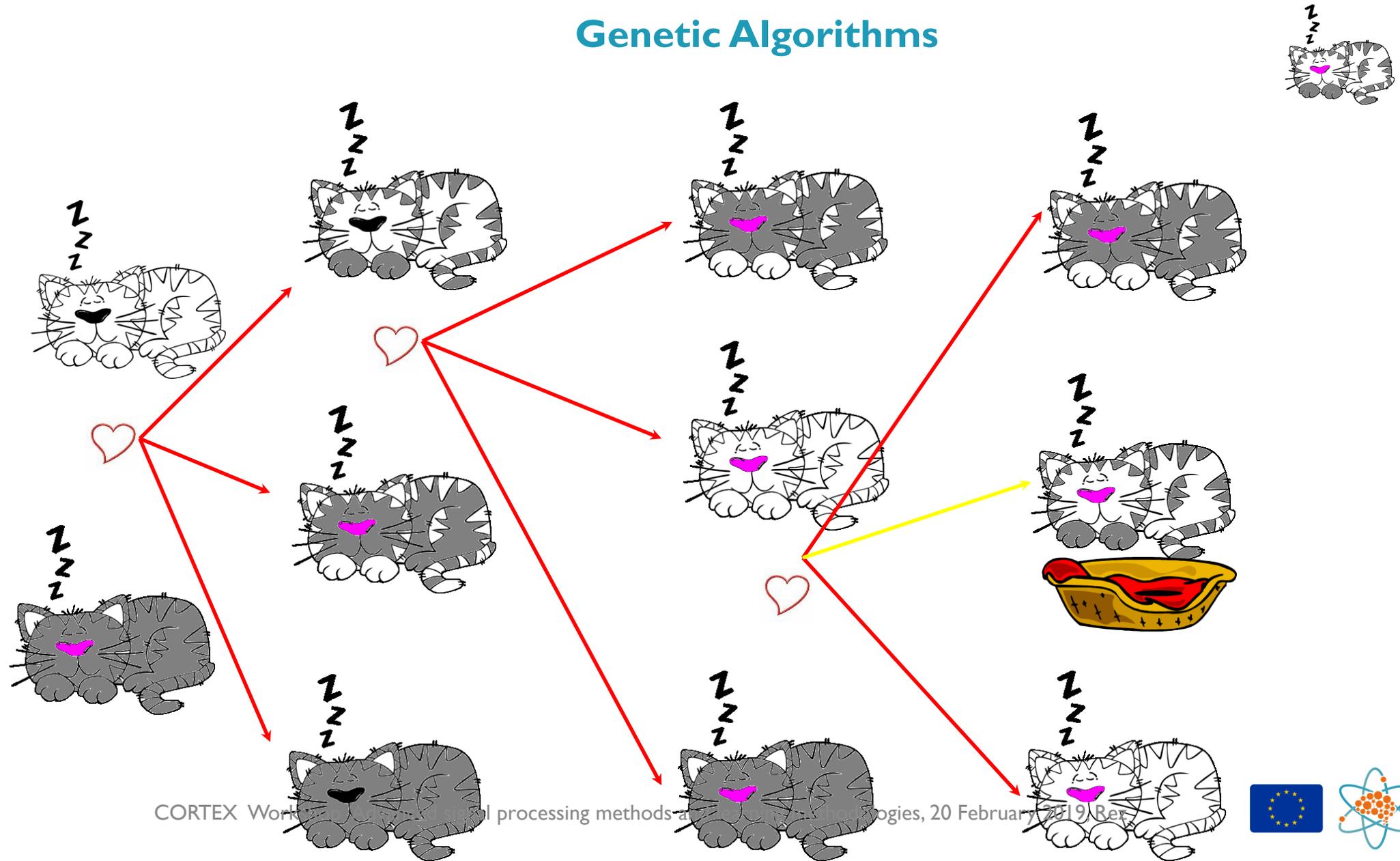
Fuzzy Logic



- two-dimensional for two colours (red and yellow)
- three-, ??? dimensions for more colours
- continuous membership values (not steps of 0.5, as shown here)

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Genetic Algorithms



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- ❖ sensor and component reliability
- ❖ spectroscopy
- ❖ fusion supporting operations

Selection from the pertinent/appropriate **CI methodology/ies**

- artificial neural networks
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- genetic algorithms/programming, evolutionary strategies

PRESENT FOCUS:

the means of tackling the problem at hand, representation of the problem



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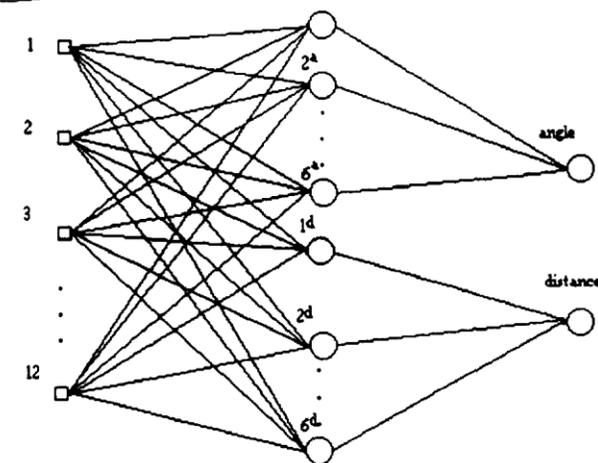
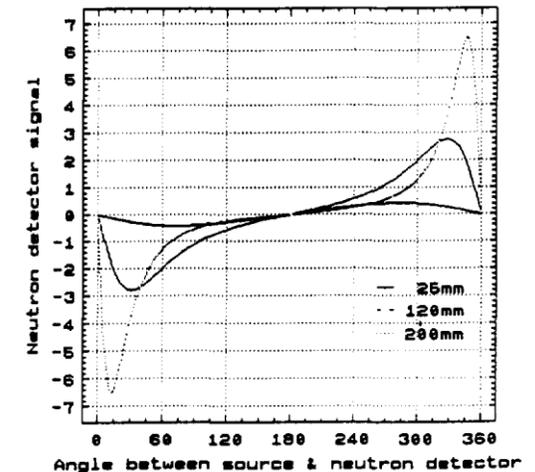
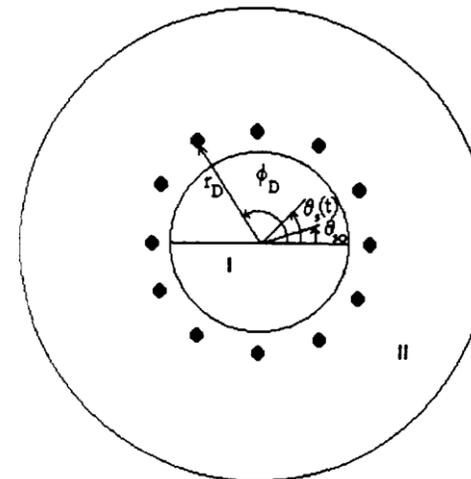
Artificial neural networks for neutron source localisation within sealed tanks, *Annals of Nuclear Energy*, Vol. 23, No. 18, pp. 1477-1488, 1996 **SAFEGUARDS & ANNs**

non-destructive localisation of even plutonium isotopes in sealed tanks
simulated data
tanks placed in a well counter (I) which is surrounded by 12 neutron detectors (II)
highly non-linear detector responses as a function of the angle between **neutron detector** and **corresponding** isotope source location to train **BP ANNs**

inputs: sets of 12 filtered Fourier transformed detector responses at **three distances** and **four noise levels** (2.5, 5, 7.5 and 10%)

outputs: **even plutonium isotope locations** (angle and distance from the centre)

majority (mean of two closest) and **median** (middle) **BP ANN** responses for **angle and distance prediction**



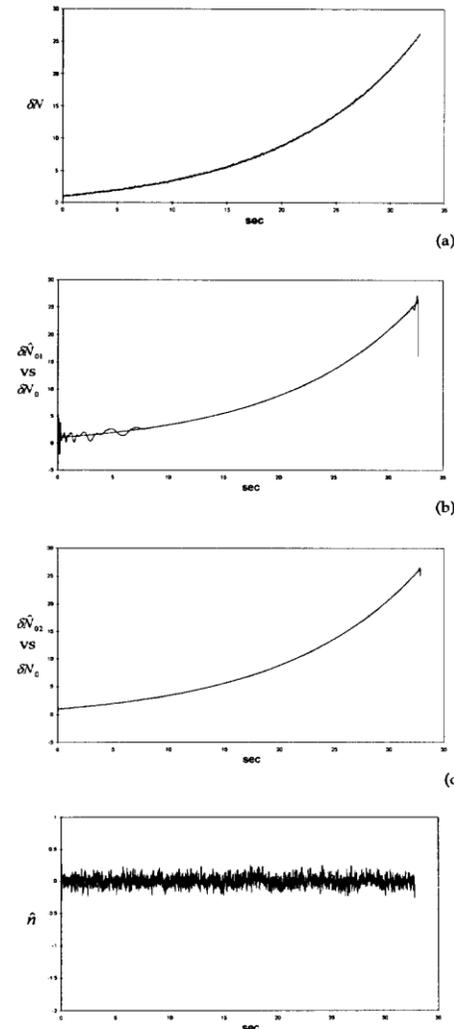
Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

System identification during a transient, *Annals of Nuclear Energy* ,Vol. 25, No. 6, pp. 465-480, 1998 **MONITORING & WAVELET MULTIREOLUTION ANALYSIS**

For **steady state operation** of N(P)s, the fast Fourier transform (FFT) is adequate for identifying the system characteristics.

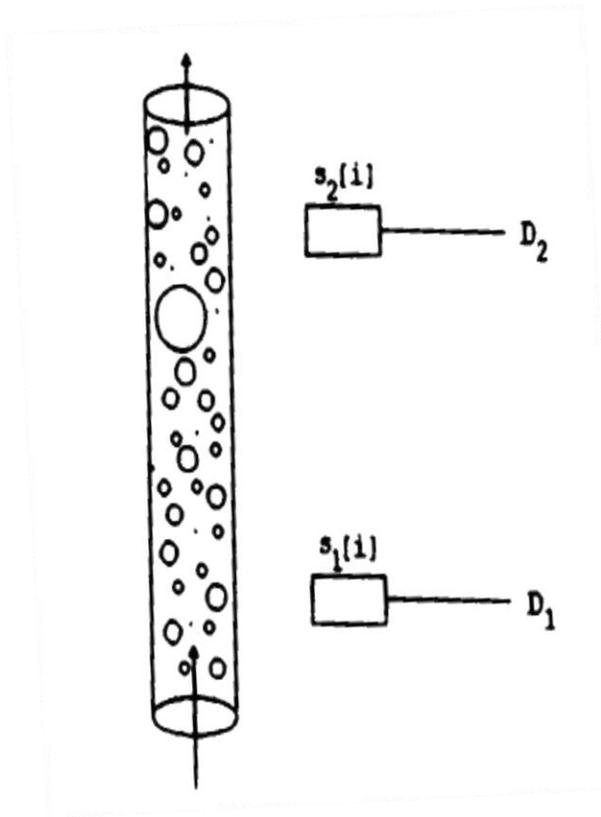
During a **transient**, **FFT fails**. It is shown that wavelet multi-resolution analysis is capable of uncovering the **signal** (i.e. isolating the transient) by the double application of denoising, using as threshold for **hard thresholding** of the wavelet coefficients **std(signal)** for deriving **signal1** and **std(signal-signal1)** for deriving **signal2**.

The **double application** of **hard std-based thresholding** of the wavelet coefficients practically eliminates the edge effects, thus saving up to 50% of the signal length that would otherwise be unusable for the purposes of **signal/system analysis**.



Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

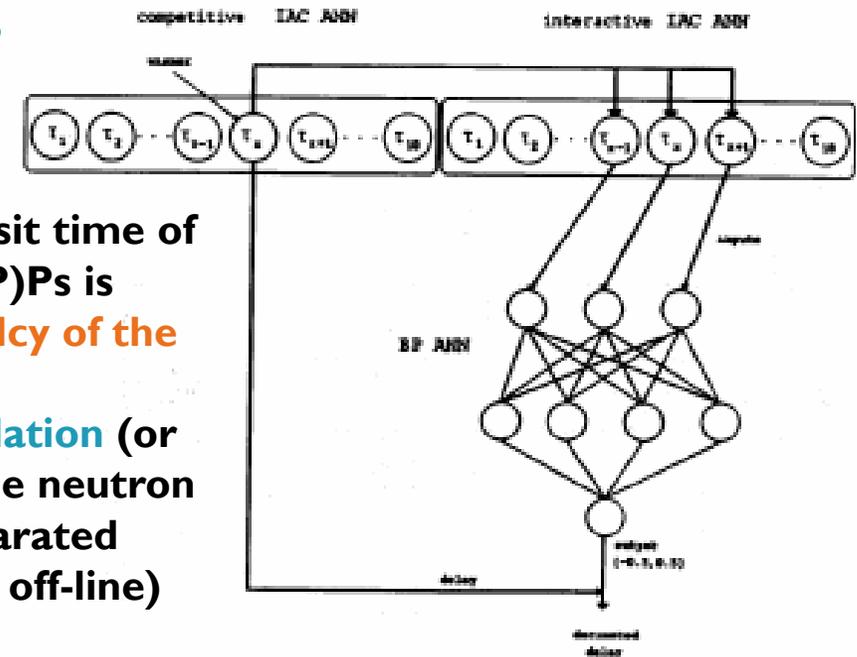
On-line estimation of transit time using artificial neural networks,
 Nuclear Science and Engineering, Vol. 130, No.1, pp. 113-127, 1998
MONITORING & ANNs



Estimation/monitoring of the transit time of the coolant in coolant pipes of N(P)Ps is
 - necessary for establishing **normalcy of the coolant flow**;
 - implemented via the **cross-correlation** (or **cross-power spectral density**) of the neutron noise signals at pairs of axially separated neutron detectors (NDs) (delayed, off-line)

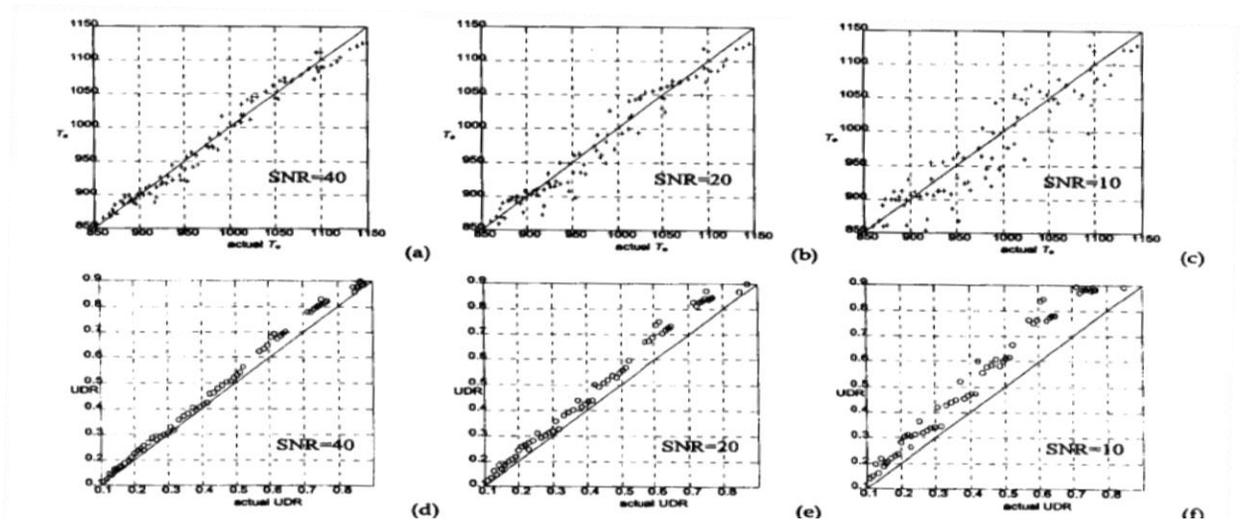
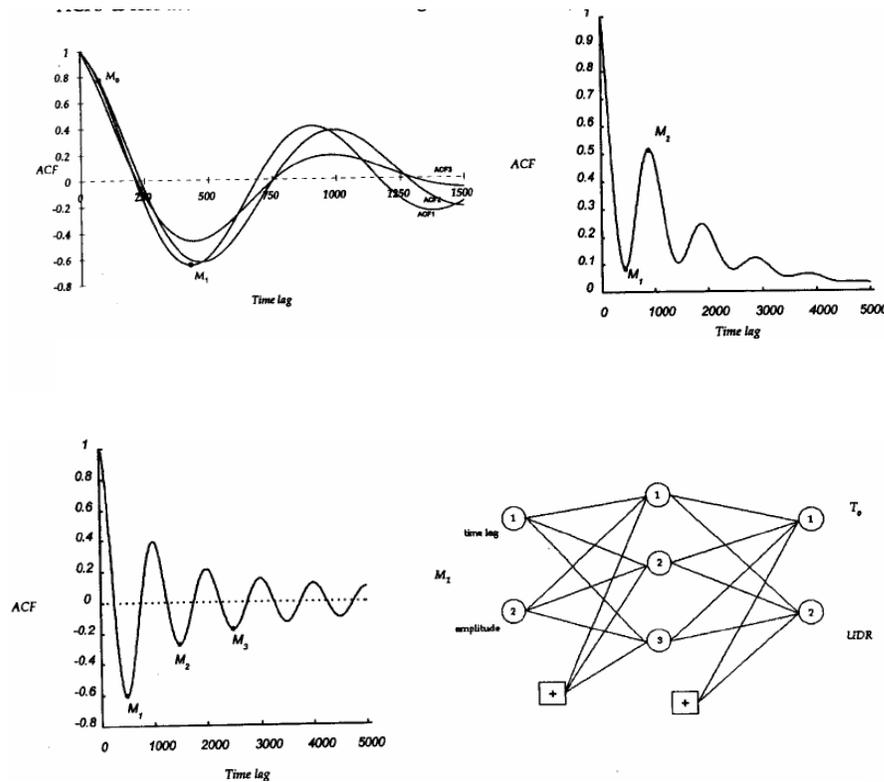
For the same pairs of signals, the **interactive activation/competition (IAC) ANN** provides **on-line, robust** (especially when transit-time varies), estimation

Appending a **BP ANN** to the **competitive IAC** and its mirror **interactive IAC ANN** allows for learning and predicting **decimated time**.



Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

On-line stability monitoring of BWR's using artificial neural networks, *Annals of Nuclear Energy*, Vol. 26, No. 14, pp.1287-1302, 1999 **MONITORING & ANNs**



Estimate the **decay ratio** and other stability parameters of the point, 2nd, 3rd and 4th order systems from short records, for providing an **on-line indication of BWR stability**

The **required number of inputs** depends on the order of the system (point: 1st min; 3rd order: 1st min, 1st max; 4th order 1st, 2nd, 3rd min) etc.

Use the shortest possible time-windows for **on-line estimation**

Only evaluate the **CCF** at the specific time-lags

Robustness to noise



Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

Instability localization with artificial neural networks, *Annals of Nuclear Energy*, Vol. 29, No. 3, pp. 235-253, 2002
MONITORING/DIAGNOSTICS & ANNs

2-D bare reactor model with a one neutron-energy instability modeled by a variable strength absorber (point-source) in a two-dimensional bare reactor model with one neutron-energy group. **Exercise in simplicity:**

Use:

- a **simple (simplified) model** of the reactor to train/validate the **BP ANN**, the standard model to test
- **four well-spaced**, yet away from the boundaries of the reactor, **detector responses** at positions that are symmetrical to the centre of the reactor
- six response-ratios as **ANN** inputs, derived directly from the neutron noise signals (uncomplicated, swift pre-processing), **reduced pattern complexity**

- two **ANN** outputs (**the X- and Y-coordinates of instability**), unlike previous approaches employing hundreds of outputs (one for each fuel assembly)

BP ANN trained on the **simplified model**, tested on the **full model**:

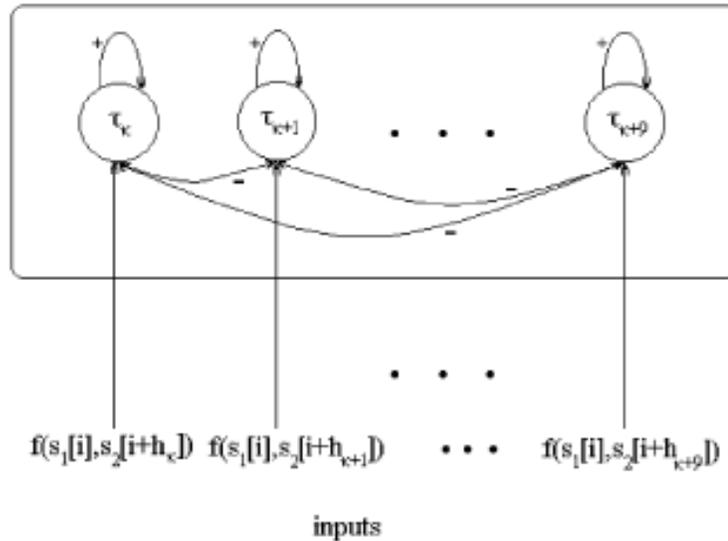
- the architecture is **independent of the number of possible locations of instability**.
- **few patterns** of low complexity used for **ANN** training
- **a measure of confidence** (estimated error) assigned to the prediction, related to the distance of the proposed location of instability from the centre of the reactor.

Following the initial localisation, the final decision on the location of the instability is derived by (i) **excluding the prediction of the BP ANN dedicated to the quadrant into which the instability is predicted** and (ii) re-evaluating the location (using the other three predictions only)



Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

A non-stationary signal correlator for on-line transit time estimation, *Annals of Nuclear Energy*, Vol. 29, No. 11, pp. 1299-1313, 2002 **MONITORING & ANNs**



The interactive activation-competition artificial neural network (**IAC ANN**) provides an estimate of the **current transit time** for each incoming pair of signal values (**BWRs**)

Transit time monitoring is accomplished **reliably** and in an **on-line** manner for both **constant and oscillating flow regimes**, i.e. for both **stationary and non-stationary signals**

The IAC ANN is **robust to the presence of local and global components** as well as to the **presence of white uncorrelated noise**

Some details:

Filtering. It is a good idea to discard the decisions of the **outer two nodes** (one on each side) of each ANN in order to avoid **erroneous decisions in cases where the actual transit time is just outside the range supported by the ANN** (in which case the corresponding outer node is the **“best loser” rather than the winner**)

An estimation of the transit time is made at each time step by **considering the recent history of the ANN decisions** (from which the current final estimation cannot significantly deviate)



Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

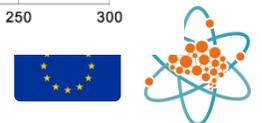
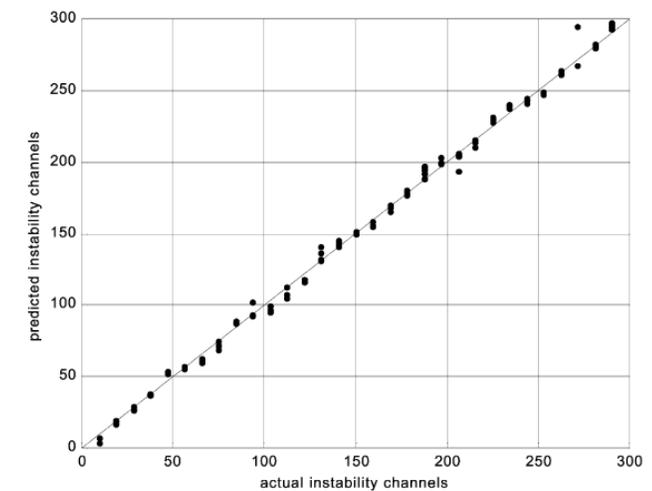
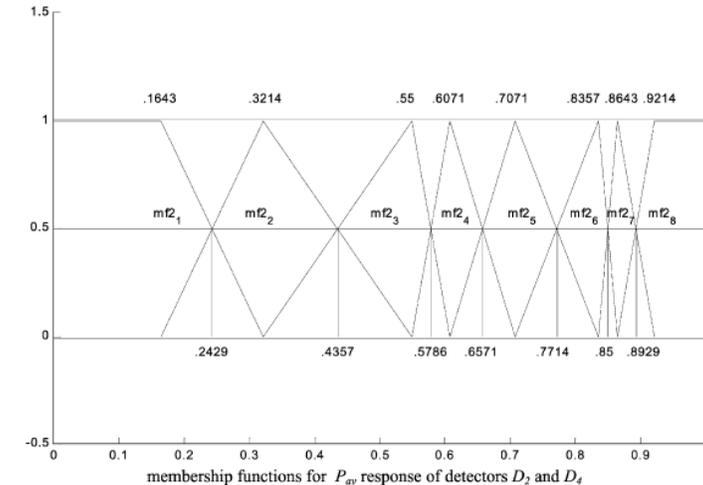
On-line channel instability localisation with fuzzy rule-based systems, *Annals of Nuclear Energy*, Vol. 31, No. 7, pp. 773-788, 2004 **MONITORING & FUZZY LOGIC**

A **fuzzy rule-based system** is implemented for **on-line channel instability localisation** within a nuclear reactor

A **limited** number of detector responses has been used for **setting up the system**, where the signals have been obtained from a **rough simulation of the reactor** and correspond to a **restricted number of channel instability locations**

The **tests** involve **novel channels of instability**, which are obtained from a **more detailed simulation** and cover an **extensive number of channel instability locations**

The proposed methodology has been found capable of **accurately, robustly and efficiently localising channel instability**



Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

On-line signal trend identification, *Annals of Nuclear Energy*, Vol. 31, No. 14, pp. 1541-1553, 2004

MONITORING & ANNs

A 1x30 self-organizing map (SOM) is employed for on-line signal trend identification

Trends are

- categorized at each incoming signal point as steady-state, increasing and decreasing
- further classified according to characteristics such as signal shape and rate of change

The implementation is found especially robust to the presence of white noise

SOM categorization accuracy for varying SNR levels of white noise; artificial test signals

SNR	Overall accuracy (%)	Errors (%)					
		From (A) to		From (B) to		From (C) to	
		(B)	(C)	(A)	(C)	(A)	(B)
∞ (no noise)	100	0	0	0	0	0	0
100	99.64	0.08	0.11	0.11	0	0.06	0
50	99.37	0.11	0.25	0.19	0	0.08	0
25	98.72	0.27	0.44	0.46	0	0.11	0
15	97.7	0.41	0.96	0.66	0	0.27	0
10	96.65	0.76	1.28	0.93	0	0.38	0
5	93.73	1.72	2.18	1.61	0.03	0.73	0

SOM classification accuracy for varying SNR levels of white noise; artificial test signals

SNR	Absolute node shifts (%)								
	0	1	2	3	4	5	6	7	8
100	97.55	2.32	0	0.05	0.05	0.03	0	0	0
50	95.03	4.73	0	0.05	0.05	0.14	0	0	0
25	89.69	9.82	0.03	0.11	0.08	0.22	0	0.05	0
15	82.94	16.09	0.16	0.16	0.11	0.46	0	0.08	0
10	74.32	23.47	0.85	0.19	0.33	0.71	0.08	0.05	0
5	55.81	35.38	5.63	0.98	0.68	1.17	0.16	0.16	0.03



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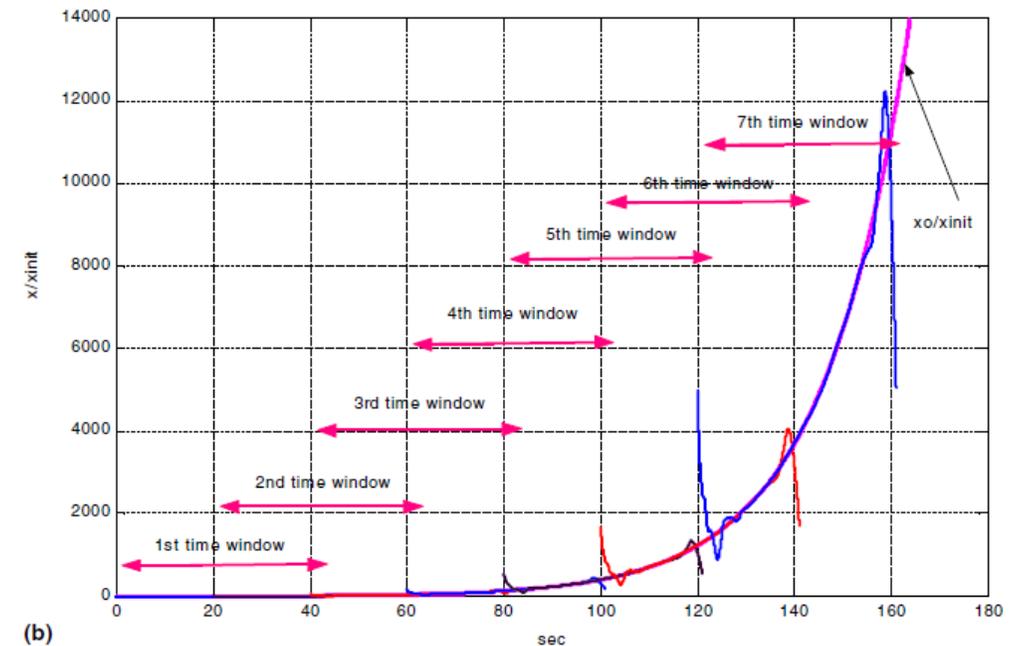
Parameter estimation during a transient – application to BWR stability, *Annals of Nuclear Energy*, Vol. 31, No. 18, pp. 2077-2092, 2004 **MONITORING/SYSTEM IDENTIFICATION & WAVELETS**

System parameter estimation is of the essence for **monitoring** and **system identification/verification**.

During **transient operation**, the parameters change rapidly rendering the **system time-varying**, whereby **classical signal processing techniques are not applicable**

Wavelet multi-resolution analysis, which can be used under such conditions, is implemented, followed by the **selection of salient wavelet coefficients** and the **application of classical signal processing techniques** for providing **valid short-term estimates of the system parameters of interest**

The **use of highly overlapping time-windows** aids in more closely monitoring the gradual changes in system parameter values



Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

Non-invasive on-line two-phase flow regime identification employing artificial neural networks, *Annals of Nuclear Energy* Vol. 36, No. 4, pp. 464-469, 2009 **SYSTEM IDENTIFICATION & ANNS**

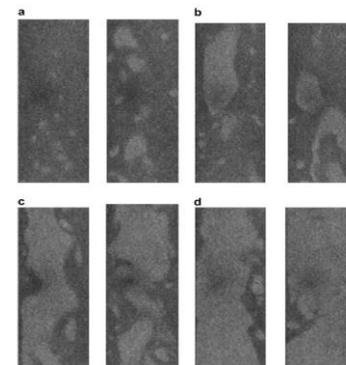
Non-invasive on-line identification of BWR two-phase flow regimes is investigated using **real neutron radiography images of coolant flow recordings** as inputs

Feature extraction utilising simple and directly computable statistical operators, namely mean pixel intensity, of (a) the entire image, (b) each row and (c) each column.

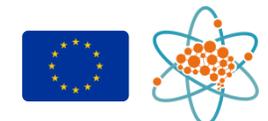
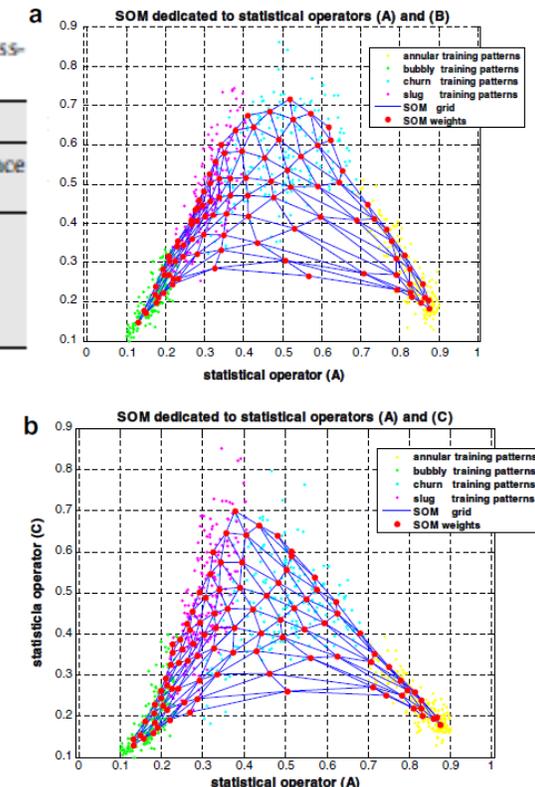
The extracted features are used as inputs to an ensemble of self-organizing maps (SOMs), which **generates the different classes without supervision**, based on **feature similarity** of the corresponding images. **Swift and accurate classification of each image into its corresponding flow is performed, without the need to define the number of distinct classes or supply training vectors for each class.**

Worst-case accuracy and confidence in the SOM decisions; fifth cycle of the cross-validation process.

SOMs flow regime	SOM _{AB}		SOM _{AC}		SOM ensemble	
	Accuracy (%)	Confidence	Accuracy (%)	Confidence	Accuracy (%)	Confidence
Bubbly	81.63	0.9029	83.67	0.7523	87.76	0.8663
Slug	91.84	0.8554	87.76	0.9381	95.92	0.8650
Churn	100	0.9847	95.92	1	100	0.9764
Annular	100	1	100	1	100	1



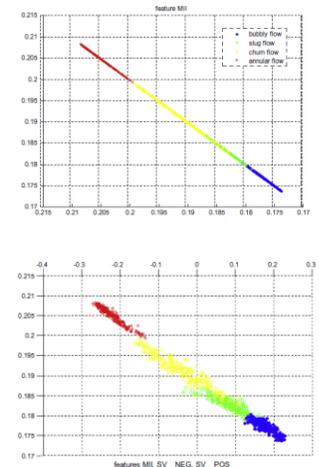
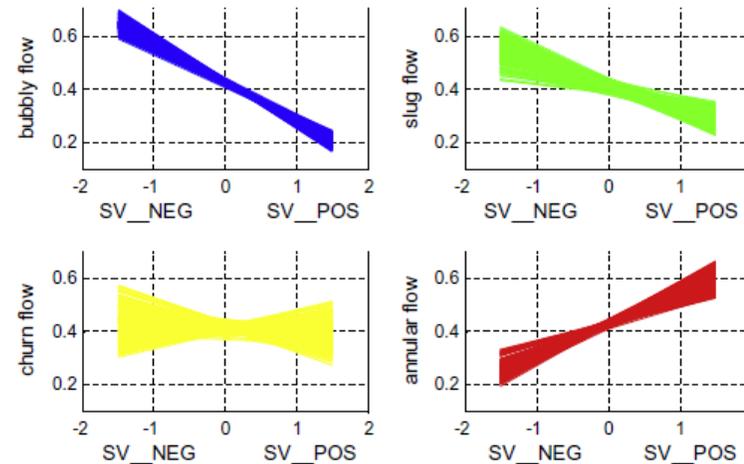
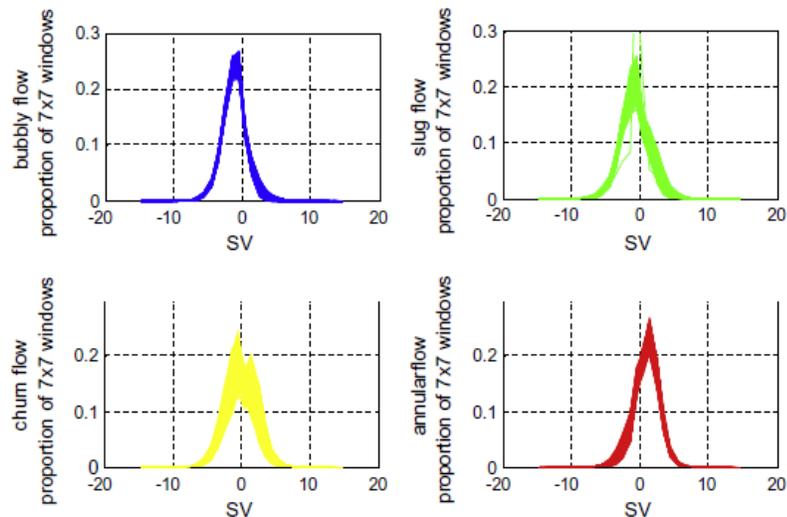
Examples of radiography images: bubbly, slug, churn and annular flow-regimes



Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

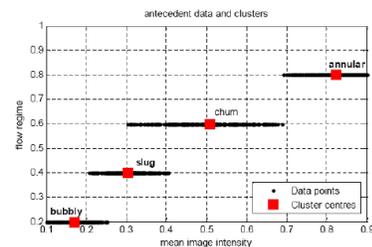
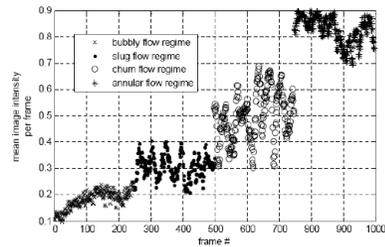
A general regression artificial neural network for two-phase flow regime identification, *Annals of Nuclear Energy* Vol. 37, No. 5, pp. 672-680, 2010 **MONITORING/SYSTEM IDENTIFICATION & ANNS**

A **general regression artificial neural network (GRNN)** is proposed for the identification of the two-phase flow that occurs in the coolant channels of **BWRs**. The utilization of a **limited number of image features** derived from radiography images affords the proposed approach with efficiency and non-invasiveness. Additionally, the application of **counter-clustering** to the input patterns prior to training accomplishes an **80% reduction in network size** as well as in training and test times. **Cross-validation tests confirm on-line flow regime identification accuracy.**

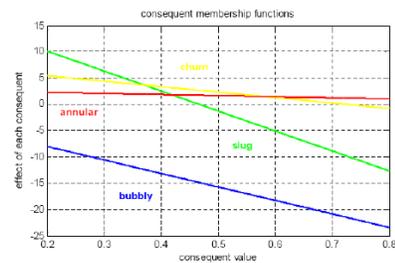
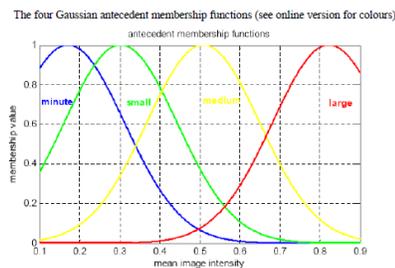


Signal Processing & Computational Intelligence → Nuclear Reactor Data Processing

A fuzzy inference system for two-phase flow regime identification from radiography images, International Journal of Nuclear Energy Science and Technology, Vol. 5, No. 4, pp. 321-334, 2010 **MONITORING & FUZZY LOGIC**



If the FIS output falls within $\begin{Bmatrix} [0 & \sim 0.235) \\ [\sim 0.235 & \sim 0.405) \\ [\sim 0.405 & \sim 0.665) \\ [\sim 0.665 & 1] \end{Bmatrix}$ then the decision is $\begin{Bmatrix} \text{bubbly flow} \\ \text{slug flow} \\ \text{churn flow} \\ \text{annular flow} \end{Bmatrix}$



If the mean image intensity is $\begin{Bmatrix} \text{minute} \\ \text{small} \\ \text{medium} \\ \text{large} \end{Bmatrix}$ then the flow regime is $\begin{Bmatrix} \text{bubbly} \\ \text{slug} \\ \text{churn} \\ \text{annular} \end{Bmatrix}$

Decision accuracy (%)	Random cross-validation	Piece-wise cross-validation
<i>Pooled Results</i>		
correct decisions	92.3057	91.9857
overestimations	5.5232	5.7221
underestimations	2.1711	2.2922
<i>Bubbly Flow</i>		
correct decisions	100	98.3673
overestimations	0	1.6327
underestimations	N/A	N/A
<i>Slug Flow</i>		
correct decisions	81.1265	79.5673
overestimations	10.1581	11.7094
underestimations	8.7154	8.7233
<i>Churn Flow</i>		
correct decisions	88.7510	88.3755
overestimations	10.5174	10.8213
underestimations	0.7316	0.8032
<i>Annular Flow</i>		
correct decisions	99.1835	99.8
overestimations	N/A	N/A
underestimations	0.8165	0.2



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Thank you!



Thank you

